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NEURAL NETWORK FUNCTION CLASSIFIER

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT (1) CHRISTOPHER M. DeANGELIS, and (2)
ROBERT C. HIGGINS, citizens of the United States of America,
employees of the United States Government, a residents of (1)
Cranston, County of Kent, State of Rhode Island and (2)
Tiverton, County of Newport, State of Rhode Island have invented
certain new and useful improvements entitled as set forth above
of which the following is a specification.

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PATENT TRADEMARK OFFICE

1	Attorney Docket No. 80031
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3	NEURAL NETWORK FUNCTION CLASSIFIER
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5	STATEMENT OF GOVERNMENT INTEREST
6	The invention described herein may be manufactured and used
7	by or for the Government of the United States of America for
8	governmental purposes without the payment of any royalties
9	thereon or therefor.
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11	BACKGROUND OF THE INVENTION
12	(1) Field of the Invention
13	The present invention relates to a method for determining
14	the best function with which to represent a data set and a
15	system for carrying out the method.
16	(2) Description of the Prior Art
17	Often there is a quantitative relationship between two or
18	more variables. For example, the water pressure on the hull of
19	a submerged submarine is related to the operating depth, or a
20	software metric is related to software measurement data. When
21	given a set of independent (X's) and corresponding dependent
22	(Y's) values, it is often convenient to represent the
23	relationship with a mathematical expression. For example, if
24	productivity relates to the number of computer that an

- 1 organization may invest in, data that describes this
- 2 relationship may have a linear trend. If so, this relationship
- 3 can be represented by the equation y = ax + b where "a" and "b"
- 4 are constants, "x" is the number of computers, and "y" is some
- 5 measure of productivity. A quadratic, cubic, or some higher
- 6 order polynomial equation may represent other data sets. Often,
- 7 real data does not perfectly match the equation because of
- 8 measurement error or random variations in the data.
- 9 Consequently, it is difficult if not impossible to visually
- 10 determine the mathematical relationship (i.e., the equation)
- 11 between the variables. Regression fits are often applied but
- may not necessarily have a mathematical expression
- 13 representation.
- In many applications, it is useful to know how a data set
- 15 behaves; however, it may not be easy to visually discern this
- 16 behavior.

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SUMMARY OF THE INVENTION

- 19 Accordingly, it is an object of the present invention to
- 20 provide a method for determining the best function with which to
- 21 represent a data set.
- It is a further object of the present invention to provide
- 23 a system for determining the best function with which to
- 24 represent a data set.

- 1 The foregoing objects are attained by the method and the
- 2 system of the present invention.
- In accordance with the present invention, a method for
- 4 determining the best function with which to represent a data set
- 5 broadly comprises the steps of providing a classifier having a
- 6 plurality of neural network sets with each neural network set
- 7 having a plurality of neural networks and with each of the
- 8 neural networks in a particular set being trained to recognize a
- 9 particular data set type, inputting data into each of the neural
- 10 network sets, and determining from an output of each of the
- 11 neural networks the best function representation of the data
- 12 set.
- 13 Further, in accordance with the present invention, a system
- 14 for determining the best function with which to represent a data
- 15 set broadly comprises a plurality of sets of trained neural
- 16 networks with each trained neural network set having a first
- 17 neural network trained to identify a first type of data set and
- 18 a second neural network trained to identify a second type of
- 19 data set. The system further comprises means for determining
- 20 from an output of each neural network in each trained neural
- 21 network set the best function representation of the data set.
- 22 Other details of the neural network function classifier of
- 23 the present invention, as well as other objects and advantages
- 24 attendant thereto, are set forth in the following detailed

- 1 description and the accompanying drawing wherein like reference
- 2 numerals depict like elements.

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- 4 BRIEF DESCRIPTION OF THE DRAWINGS
- 5 The Figure is a schematic representation of a neural
- 6 network function classification system in accordance with the
- 7 present invention.

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- 9 DESCRIPTION OF THE PREFERRED EMBODIMENT(S)
- To illustrate the present invention, a plurality of neural
- 11 networks 10, 12, and 14 are trained using a plurality of
- 12 different data sets. For example, in the case of two
- 13 dimensional data sets, the neural networks 10, 12, and 14 may be
- 14 trained using linear data, quadratic data, and cubic data,
- 15 respectively. These data sets (x, y) may be defined by the
- 16 their corresponding equations: linear which is y = ax + b;
- 17 quadratic which is $y = ax^2 + bx + c$; and cubic which is $y = ax^3 + bx + c$
- 18 $bx^2 + cx + d$.
- To limit the amount of training data, the neural networks
- 20 10, 12, and 14 may be trained on data with "x" and "y" values
- 21 greater than or equal to -1 and less than or equal to +1. Thus,
- 22 the neural network that is trained to recognize linear data, for
- 23 example, may be trained on normalized data that is known to be
- 24 linear, defined by the equation y = ax + b. A sliding window

- 1 function may be utilized to select segments of the linear data
- 2 for processing. An example of a sliding window selection
- 3 process when the input data set comprises a total of 1000 data
- 4 samples is as follows: (a) the first 100 data samples are
- 5 initially selected and processed by the first neural network set
- 6 10, 12, and 14; (b) the sliding window iterates over the (x,y)
- 7 values (i.e., window number 1 selects samples 1 to 100, window
- 8 number 2 selects samples 2 to 101, etc.) until all the data has
- 9 been processed. The second neural network set uses a sliding
- 10 window of 200 samples, the third neural network set uses 300
- 11 samples, etc.; with the last neural network set using window
- 12 size of N samples. During the training process, the constants
- in the linear equation, i.e., "a" and "b", may be varied to
- 14 cover all combinations between +1 and -1. These may be
- incremented in steps of 0.1 or some other suitable increment.
- 16 In the present example, each of the neural networks 10, 12, and
- 17 14 may be trained to recognize a specific type of data: linear,
- 18 quadratic, or cubic. Further, each of the neural networks 10,
- 19 12, and 14 may be trained to produce a "1" when presented with a
- 20 representative data set and "0" otherwise.
- 21 The Figure illustrates a general block diagram
- 22 representation of a neural network function classifier 100. The
- 23 classifier 100 and its various functions are carried out on a
- 24 computer which has been programmed in any suitable language

- 1 known in the art to perform the functions outlined below. As
- 2 can be seen from the Figure, data is inputted in x, y coordinate
- 3 pairs into a module 20 where it is normalized. The
- 4 normalization module 20 and its functions may be implemented in
- 5 any suitable manner known in the art. Data normalization may be
- 6 implemented in the module 20 by dividing the "x" and "y" values
- 7 in the data set by the absolute value of their respective
- 8 maximum values.
- 9 As can be seen from the Figure, the main processing region
- 10 22 of the classifier system consists of a plurality of sets 23
- of neural networks 10, 12, and 14 with each of the neural
- 12 networks being trained to recognize a different data set such as
- 13 linear, quadratic, or cubic. Each of the neural networks 10,
- 14 12, and 14 may have any desired architecture known in the art.
- 15 After normalization, the normalized data is transmitted
- 16 from the module 20 to a data organization module 21. In the
- 17 data organization module 21, the sliding window process
- 18 discussed earlier may be implemented to select the data to be
- 19 received by each set 23 of neural networks 10, 12, and 14. As
- 20 shown in the Figure, the sliding window function may be
- 21 performed for 100, 200, up to N samples, where the value of N is
- 22 defined during the neural network training process. Several
- 23 different sized sliding windows are used because at some point
- 24 the output of each neural network is expected to be less

- 1 sensitive to changes in window size. It is at this point that
- 2 the average output value will become more reliable.
- In the Figure, each set 23 of artificial neural networks
- 4 (ANN) includes a neural network 10 trained on linear data (ANN
- 5 Linear), a neural network 12 trained on quadratic data (ANN
- 6 quadratic), and a plurality of neural networks 14 trained on
- 7 higher order polynomials, respectively. The order of the
- 8 polynomial is denoted by "n" in the Figure. Each neural network
- 9 10, 12, and 14 in each set 23 has been trained to produce a "1"
- 10 when the trend of the unknown data adequately matches the type
- 11 of data that was used during training and "0" otherwise. As the
- 12 sliding window process performed in the data organizational
- 13 module 21 selects new data, each neural network 10, 12, and 14
- 14 produces a stream of output values between "0" and "1".
- 15 As shown in the Figure, the classifier 100 also includes a
- 16 decision aide 30. The decision aide 30 determines which sliding
- 17 window has produced the most reliable neural network output by
- 18 computing an estimate of its variability and making the best
- 19 selection. It then decides which neural network 10, 12, or 14
- 20 produced the highest average output value. If the linear neural
- 21 network's average output, for example, is 0.9 and all the other
- 22 outputs were 0.1, the linear equation is selected as the best
- 23 functional representation.

- 1 The data organizational module 21 for carrying out sliding
- 2 window process and the decision aide module 30 and its functions
- 3 may be carried out on any suitable computer known in the art
- 4 which has been preprogrammed in any suitable language known in
- 5 the art to carry out the aforementioned functions. Similarly,
- 6 the neural networks 10, 12, and 14 in each set 23 may be
- 7 implemented using a preprogrammed computer.
- 8 In accordance with the present invention, a set of data
- 9 with unknown functional characteristics is processed and
- 10 evaluated by an intelligent processor 30 that will determine the
- 11 best functional representation.
- In implementing the present invention, window sizes can
- 13 vary. While the embodiment discussed here specifically
- 14 discusses using different orders of polynomial functions as the
- 15 basis for the neural networks, this same technique could be
- 16 applied using other functions. For example, the method could be
- 17 applied using periodic functions such as sinuisoids of different
- 18 orders or exponential functions of different orders. Neural
- 19 networks can be trained using these functions with or instead of
- 20 the disclosed polynomial functions.
- It is apparent that there has been provided in accordance
- 22 with the present invention a neural network function classifier
- 23 which fully satisfies the objects, means, and advantages set
- 24 forth hereinbefore. While the present invention has been

- 1 described in the context of specific embodiments thereof, other
- 2 alternatives, modifications, and variations will become apparent
- 3 to those skilled in the art having read the foregoing
- 4 description. Accordingly, it is intended to embrace those
- 5 alternatives, modifications, and variations as fall within the
- 6 broad scope of the appended claims.

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NEURAL NETWORK FUNCTION CLASSIFIER

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5 ABSTRACT OF THE DISCLOSURE

6 A method and a system for determining a best function for 7 representing a data set. The method includes providing a classifier having a plurality of neural network sets. Each of 8 9 the neural networks in a particular set is trained to recognize a particular data set type. The best function representation of 10 11 the data set is determined from the neural network output. 12 system comprises sets of trained neural networks having neural networks trained to identify different types of data. 13 number of neural networks within each neural network set will 14 15 depend on the number of function types that are represented. The system further comprises an aide for determining based on 16 17 the best function representation of the data set.

